



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on January 14, 2003.

Name of Registered Representative:

John C. Freeman
John C. Freeman, Registration No. 34,483

January 14, 2003
Date of Signature

Visteon Case V200-0914
Brinks Hofer Gilson
& Lione Patent Case No. 10541/273

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In re Application of:)

Gregory A. Whitlow et al.)

Serial No.: 09/989,369)

Filed: November 19, 2001)

For: HEAT EXCHANGER TUBE WITH)
STONE PROTECTION)
APPENDAGE)

Art Unit: 3743

Examiner: Ford, John K.

JAN 21 2003

TECHNOLOGY CENTER R3700

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

In compliance with Applicants' duty of disclosure under 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. §§ 1.97-1.98, Applicants hereby submit the following references for consideration by the Examiner. Copies of the references are enclosed along with a completed copy of Form PTO-1449.

I. DISCLOSURE

A. U.S. Patents

<u>Patent No.</u>	<u>Inventor</u>	<u>Issue Date</u>
3,692,105	O'Connor	09/19/72
6,308,527 B1	Kuroyanagi et al.	10/30/01

B. Foreign Patents

<u>Reference No.</u>	<u>Country</u>	<u>Pub. Date</u>
1 390 781	U.K.	04/16/75
1 390 782	U.K.	04/16/75
0 179 381 A1	EP	04/30/86
0 234 942 A1	EP	09/02/87
62-202999	Japan	09/07/87
0 479 775 A2	EP	04/08/92
2 323 155 A	U.K.	09/16/98

II. DISCUSSION

A. U.S. Patent No. 3,692,105

The '105 patent was cited as an X-type reference in a Search Report for a corresponding United Kingdom Patent Application. An X-type reference indicates lack of

novelty or inventive step.

B. U.S. Patent No. 6,308,527

The '527 patent was cited as an X-type reference in a Search Report for a corresponding United Kingdom Patent Application.

C. U.K. Patent Reference No. 1 390 781

The '781 patent reference was cited as an A-type reference in a Search Report for a corresponding United Kingdom Patent Application. An A-type reference regards technical background information and/or state of the art and is not deemed pertinent to patentability.

D. U.K. Patent Reference No. 1 390 782

The '782 patent reference was cited as an A-type reference in a Search Report for a corresponding United Kingdom Patent Application.

E. EP Patent Reference No. 0 179 381

The '381 patent reference was cited as an X-type reference in a Search Report for a corresponding United Kingdom Patent Application.

F. EP Patent Reference No. 0 234 942

The '942 patent reference was cited as an X-type reference in a Search Report for a corresponding United Kingdom Patent Application.

G. Japanese Patent Reference No. 62-202999

Based solely on the drawings and the enclosed English language Abstract, the '999 patent reference is pertinent because it appears to disclose a heat exchanger with laminated plates.

The '999 patent reference was cited as an X-type reference in a Search Report for a corresponding United Kingdom Patent Application.

H. EP Patent Reference No. 0 479 775

The '775 patent reference was cited as an X-type reference in a Search Report for a corresponding United Kingdom Patent Application.

I. U.K. Patent Reference No. 2 323 155

The '155 patent reference was cited as an X-type reference in a Search Report for a corresponding United Kingdom Patent Application.

III. CONCLUSION

It is believed that none of these references, alone or in combination, disclose or suggest the invention claimed. However, Applicants wish to make it clear that the disclosure of the above references is in no way an admission that they qualify as prior art. It is Applicants' desire, however, to have these references available in the record for both the Examiner and the public to see. Applicants therefore request that the Examiner review

the entire disclosure of each reference and make the above-listed references of record.

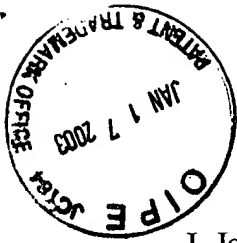
Respectfully submitted,

A handwritten signature in black ink, appearing to read 'J.C. Freeman', with a stylized flourish at the end.

John C. Freeman
Registration No. 34,483
Attorney for Applicants

BRINKS HOFER
GILSON & LIONE
P.O. Box 10395
Chicago, Illinois 60610
(312) 321-4200

Dated: January 14, 2003



CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I, John C. Freeman, certify that each item of information contained in the information disclosure statement attached hereto was first cited in a Search Report regarding United Kingdom Patent Application No. GB 0211471.8, which is a foreign counterpart of United States Patent Application Serial No. 09/989,369. The Search Report was mailed at the earliest on November 14, 2002 which is not more than three months prior to the filing of the attached information disclosure statement.



Date: January 14, 2003

John C. Freeman
Registration No. 34,483
Attorney for Applicants

BRINKS HOFER
GILSON & LIONE
P.O. Box 10395
Chicago, Illinois 60610
(312) 321-4200



TRANSMITTAL LETTER			Case No. Visteon Case No. V200-0914 BHG&L No. 10541-273
Serial No. 09/989,369	Filing Date November 19, 2001	Examiner John K. Ford	Group Art Unit 3743
Inventor(s) Gregory A. Whitlow et al.			
Title of Invention HEAT EXCHANGER TUBE WITH STONE PROTECTION APPENDAGE			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter (in duplicate); Supplemental Information Disclosure Statement; Form PTO 1449 along with nine (9) cited references; Postcard Evidencing Receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

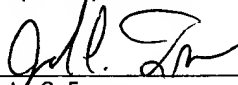
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9 =	
x 42 =	
+ \$140 =	
Total add'l fee	\$

Other Than Small Entity	
Rate	Add'l Fee
x \$18 =	
x \$84 =	
+ \$280 =	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,


John C. Freeman
Registration No. 34,483
Attorney for Applicant

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on January 14, 2003.

Date: January 14, 2003

Signature: 

RECEIVED

JAN 21 2003

TECHNOLOGY CENTER R3700